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Appln. No. : 09/991,971 Confirmation No. 8814
Applicant : Markku AHOTUPA
Filed : 26 November 2001
TC/A.U. : 1644
Examiner : Phuong N. Huynh

Docket No. : 2630-113
Customer No. : 6449

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DECLARATION UNDER 37 CFR 1.131(a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We, Markku AHOTUPA, John ERIKSSON, Lauri KANGAS, Mikko UNKILA, Janne KOMI, Merja PERÄLÄ, and Helena KORTE, applicants for the above-identified patent application, declare as follows:

1. That some time on or prior to 21 March 2001, the inhibition of overactivity of phagocytes by administering hydroxymatairesinol identified in the instant application had been determined. That is, a human neutrophil sample was stimulated by addition of phorbol-myristate-acetate (PMS) to produce an oxidative burst. Addition of hydroxymatairesinol to the human neutrophil sample was found to inhibit oxidative burst stimulated by PMA.

2. That some time on or prior to 21 March 2001, the inhibition of overactivity of phagocytes by administering hydroxymatairesinol identified in the instant application had been determined. That is, a porcine neutrophil sample treated with hydroxymatairesinol was found to inhibit myeloperoxidase activity.

3. All of the above experiments were performed in laboratories at MCA Research Laboratory Ltd in Turku, Finland.

4. The date of the determination for each inhibition of overactivity was determined from notebook records. Copies of the notebook records evidencing the determination of the above inhibition of overactivities are attached hereto as follows:

Exhibit 1

Primary finding on inhibition of human neutrophil oxidative burst by hydroxymatairesinol was done in laboratories at MCA Research Laboratory Ltd. The assay was performed under our direction and supervision by research associate, Ms. Riikka Hirsinummi (RH). For measurement of oxidative burst she used Bio Orbit 1251 Luminometer (documents 1.1 and 1.2: copies of equipment notebook). Copies of notes on her notebook (documents 1.3 and 1.4) show dilutions used and an outline of graphical presentation of results. A copy of original data sheet is also enclosed (document 1.5).

Exhibit 2

Primary finding on inhibition of porcine neutrophil myeloperoxidase activity by hydroxymatairesinol was done in laboratories at MCA Research Laboratory Ltd. The assay was performed under our direction and supervision by research associate, Ms. Riikka Hirsinummi (RH). For measurement of myeloperoxidase activity she used Perkin Elmer UV/VIS Spectrophotometer Lambda 2 (documents 2.1., 2.2 and 2.3: copies of equipment notebook). Copy of her notes on her notebook (document 2.4) shows dilutions used. A copy of original data sheet is also enclosed (document 2.5).

These notebook records indicate that the above inhibition of overactivities were discovered prior to the corresponding dates set forth in the above paragraphs 1-2. All dates have been redacted in the attached photocopy of the relevant laboratory notebook pages so as to maintain the confidentiality of the actual date of invention.

5. It is further declared that the accompanying exhibits may not be a complete record of applicants' data concerning the invention of the instant patent application and are not necessarily meant to represent the earliest date of conception. The accompanying exhibits are presented

solely to prove a completion of the invention prior to the date of the Yesilada et al. (03/21/01) prior art cited by the Examiner in the Office Action dated 21 October 2004.

The declarants further state that the above statements were made with the knowledge that willful false statements and the like are punishable by fine and/or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that any such willful false statement may jeopardize the validity of this application or any patent resulting therefrom.

Dated: Turku Feb 19, 2005

Dated: Turku Feb 18, 2005

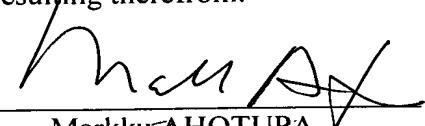
Dated: Turku Feb 15, 2005

Dated: Turku Feb 13, 2005

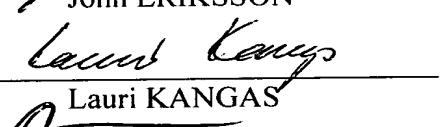
Dated: Turku Feb 15, 2005

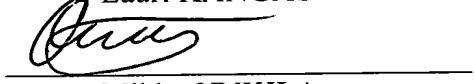
Dated: Turku Feb 15, 2005

Dated: Turku Feb 16, 2005


Markku AHOTUPA

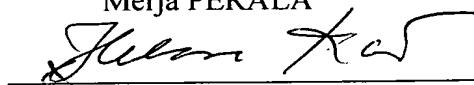

John ERIKSSON


Lauri KANGAS


Mikko UNKILA


Janne KOMI


Merja PERÄLÄ


Helena KORTE



document 1.1 equipment notebook

Bio Orbit
1251 LUMINOMETER

'varha'

SIGNAL

LS VASANVIRI / TYTÖT +37°C TETR 0,694-0,703
 LS — 44 HORUS — 2 0,695-0,685
 LS — 44 HORUS — 2 0,697-0,703
 LS (196) TYTÖT

LS HORUS

document 1.2 equipment notebook

LS 44 35°C SOD 0,701-0,711

LS HORUS 35°C SOD 0,861-0,893

LS PILOTTI +37°C TETR 0,693-0,716

LS 44 " " 0,704-0,694

LS 44 " " 0,703-0,697

LS 44 " " 0,707-0,699

LS 44 " " 0,715-0,719

LS HORUS 33°C PEROX 0,733-0,741

LS 44 " " 1,013-1,022

LS " " 0,716-0,730

LS " " 0,716-0,721

LS DANISCC 33°C PEROX 0,732-0,741

LS " " 0,724-0,734

LS PANISCO 35°C SOD 0,789-0,766

LS HORUS 44 " 0,849-0,820

LS " " 0,780-0,746

LS HORUS 37°C TETR

LS " " 0,734-0,722

RH OXIDATIVE BURST OXA RIIKKA OX

LS RTMO 35°C SOD

LS " " 0,764-0,757

LS HORUS 33°C PEROX

RH OXIDATIVE BURST RIIKKA OX + SOD

LS HORUS 33°C PEROX 0,762-0,733

LS HORUS 35°C SOD 0,725-0,733

LS HORUS 35°C SOD 0,664, 0,683

LS HORUS 33°C PEROX

LS HORUS 33°C PEROX 0,998-0,987

LS HORUS 35°C SOD 0,678-0,687

LS RTMO 35°C SOD

ABEL - oxidative burst

document 1.3

notebook of RH

$$\begin{array}{l}
 \text{Nitrocapone} \quad 70 \text{ mg/mL} \quad \frac{70}{1000} = \frac{3,5}{x} \Rightarrow 90 \mu\text{L} \quad 70 \mu\text{L DMSO} + 50 \mu\text{L EtOH} \\
 \text{Y-OH-Tormenten} \quad 40 \text{ mg/mL} \quad \frac{5,0}{0,04} = \Rightarrow 125 \mu\text{L} \quad 100 \mu\text{L} + \dots + 25 \mu\text{L EtOH}
 \end{array}$$

11W Nitro. 265,2 mg/mol
Y-OH-Tor

Tutkitaan olliselt HM-lamminmaku + Nitrocapone + Y-OH-Tormentiini

450 μL Reconst -puiskuraa kyvettili
 + 100 μL huotekina vesi $\frac{\text{Laskennan vesi}}{10 \mu\text{L vesi} + 1000 \mu\text{L Bufferia}}$ Luotaa
 + 100 μL Adjuvant P-mix
 + 10 μL intiittavaaine tai mina
 SEKÖRUS

- Kyvetti 137°C ja inkubointi 1 min
- Mixaus
- Läistäminen $200 \mu\text{L}$ Photolase:ja
- käynnistetään aja mittaus 1 min
- Injektorista TPA
- 100 μL 8M4 - TPA:ta

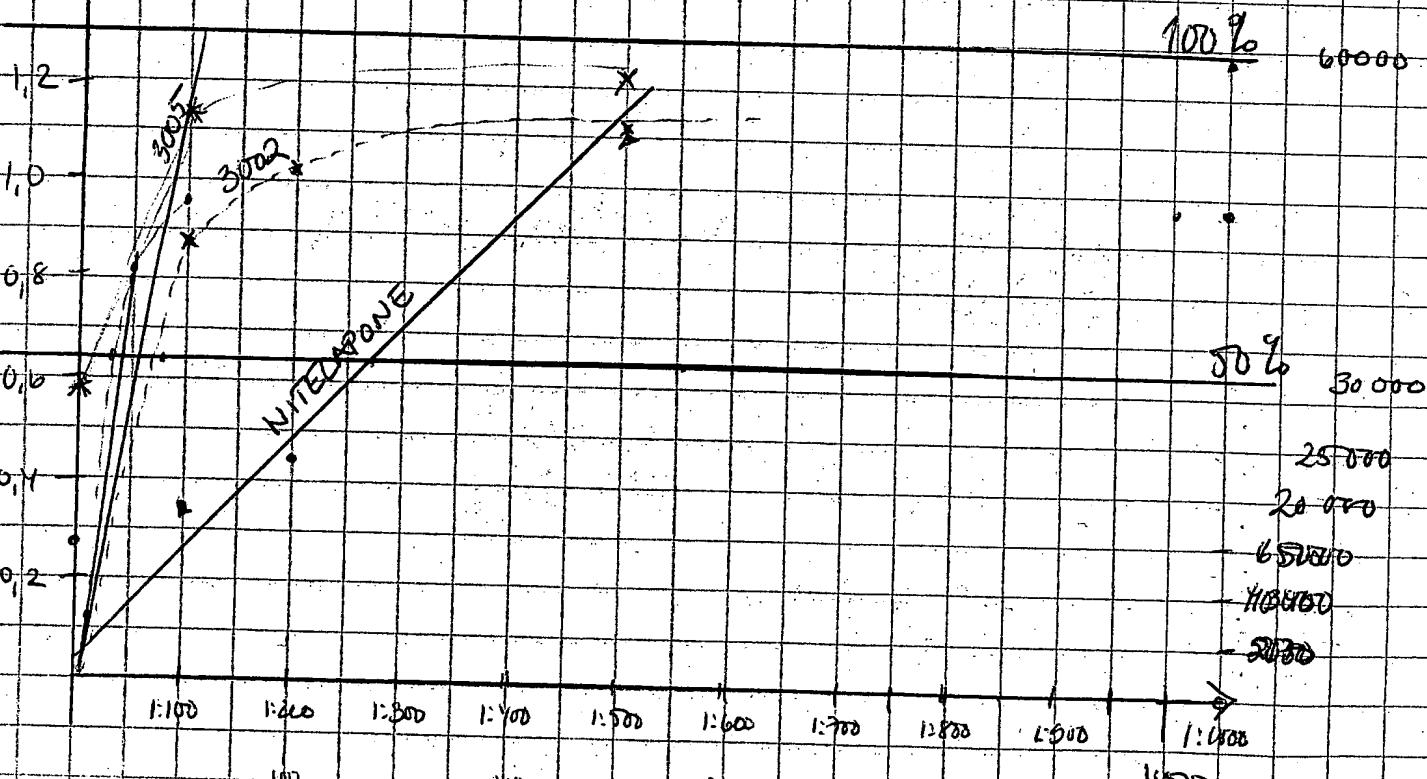
| VS0d | ajo 1 | HM-3000 | 5x | 2 chaa | 0 | 5 μL EtOH |
|-------|--------------|---------|----|--------|---|----------------------|
| ajo 2 | 1 3003 kanta | 1 kanta | | | | |
| | 2 1:100 | 2 1:50 | | | | |
| | 3 1:200 | 3 1:100 | | | | |
| | 4 1:500 | 4 1:200 | | | | |
| | 5 3005 kanta | 5 1:300 | | | | |
| | 6 1:100 | 6 1:400 | | | | |

document 1.4 notebook of RH

SARJA

| | | | |
|----|-----------------|----------------|----------------|
| 1 | HM-3005 | 40 mg/mL | MW 358,4 g/mol |
| 2 | 1:10 | | |
| 3 | 1:50 | | |
| 4 | 1:100 | | |
| 5 | HM-3002 | 40 mg/mL | MW 356,4 g/mol |
| 6 | 1:100 | | |
| 7 | 1:200 | | |
| 8 | 1:500 | | |
| 9 | 4-OH TORBUTIFEN | 40 mg/mL | MW 590 g/mol |
| 10 | 1:100 | | |
| 11 | 1:500 | | |
| 12 | NITECAPONE | 1:100 40 mg/mL | |
| 13 | — | 1:500 | |

INHIBITOR



document 1.5 original data sheet

MultiUse 2.0, 1251 Luminometer control program (c) BioOrbit Oy 1993
 C:\MULTIUSE\ DAT 04:41:20 Page 1

.01031601.DAT
 04:41:20

| Sample | | Id | time | piikki | tulos |
|------------------------------|-----------------|----------------------|--------|----------------|------------------|
| 1 | <i>O-näyte</i> | 1 | +00:00 | 225.400 | 62331.400 |
| | <i>5µl EtOH</i> | 2 | +00:09 | 211.500 | 58721.874 |
| | | mean | | 218.450 | 60526.637 |
| | | %cv | | 3.182 | 2.982 |
| <i>70mg/mL</i> <i>5µl</i> | 2 | <i>44-3000 kauta</i> | 3 | +00:18 | 27.210 8568.050 |
| | | | 4 | +00:27 | 90.200 28227.825 |
| | | mean | | 58.705 | 18397.937 |
| | | %cv | | 53.650 | 53.429 |
| 3 | <i>1:100</i> | 5 | +00:36 | 104.700 | 33143.600 |
| | <i>1:200</i> | 6 | +00:45 | 125.400 | 40161.725 |
| | | mean | | 115.050 | 36652.662 |
| | | %cv | | 8.996 | 9.574 |
| 4 | <i>1:300</i> | 7 | +00:54 | 130.100 | 42285.450 |
| | <i>1:400</i> | 8 | +01:03 | 138.400 | 44996.276 |
| | | mean | | 134.250 | 43640.863 |
| | | %cv | | 5.691 | 5.106 |

document 2.1

equipment notebook

PERKIN ELMER
UV / VIS
Spectrophotometer
Lambda 2

UV / VIS

Spectrophotometer

Lambda 2

F8

OHJEIHATLÄHTIEN:

| | | | |
|--------------------|--------------------------------|-----------|------------------|
| dc | = Dienenikonjugaatio | SKANNAUS. | 233-300 nm |
| dieni | = Dieni, konjugaatio | mittaus | 233 ja 300 nm |
| mye | = Myeloperoksidaasi | | 655 nm |
| kol | = Kolesteroli | | 500 nm |
| tba | = tba - määriys | | 535 nm |
| gsh | = gsh - määriys | | 412 nm |
| folin | = Folin proteinimääriys | | 500 nm |
| dna | = DNA pitoisuus ja puhdas | | 260 ja 280 nm |
| d ₂ nph | = D ₂ NPH - mittaus | | 280, 360, 390 nm |
| lipidper | = Lipidi hydroperoksiidi | | 560 nm |

OHJEIHAT

| | | |
|--------------------|------------------------|--------------|
| db | = Dieni, konjugaatio | 233 - 300 nm |
| mye | = myeloperoksidaasi | 655 nm |
| kol | = kolesteroli | 500 nm |
| tba | = tba - määriys | 535 nm |
| gsh | | 412 nm |
| folin | = 500 nm | |
| DNA puhdas | 286 ja 260 nm | |
| D ₂ NPH | = 280 nm 360 nm 390 nm | |

| | | | |
|----|-------|------------------|-------------------|
| RH | GXLPL | -kokerluf | DC |
| LS | | | DC + KOL |
| RH | 213 | PILOTT | KOL + DC |
| 2H | 213 | HORNOOS | HPL 2 |
| RH | 213 | | DC 2 |
| RH | | | |
| RH | | | |
| RH | 216 | HORNOOS | |
| RH | | | |
| LS | | HORNOOS | DC |
| RH | 216 | HORNOOS | DC + KOL + HPL 2 |
| RH | | | DC + KOL |
| RH | | | HPL 2 |
| LS | | HORNOOS | DC |
| LS | | HORNOOS | DC |
| LS | | PILOTT | GSIL |
| LS | | HORNOOS | DC |
| LS | | PILOTT | FOLIN |
| LS | | PILOTT | LYE |
| LS | | PILOTT | FOLIN |
| LS | | PILOTT | FOLIN |
| RH | | HMR | HYDROPEROXIDANS 1 |
| LS | | HORNOOS | DC |
| RH | | HMR | LYE |
| LS | | HORNOOS | DC |
| LS | | PILOTT / KSAKKEI | DC + KOL |
| LS | | HORNOOS | DC |
| LS | | PILOTT | DC - KOL |
| RH | | HMR | LYE |
| LS | | PILOTT | DC, KOL |
| LS | | PILOTT | DC, KOL |
| LS | | PILOTT | DC, KOL |
| LS | | | — |
| LS | | HORNOOS | DC |
| LS | | HORNOOS | DC |
| LS | | HORNOOS | DC |
| LS | | | LYE 20% |
| LS | | 222 | DC, KOL |
| GS | | | — |
| LS | | | — |

HM-300

Myeloperoxidasi aktivisoiden määrittäminen

HM-3000 kanttiinos 40 mg / 1 mL

20 μ L may tettä

HM-3000 Lainennosset

document 2.4

notebook of RH

1 kanttiinos 40 mg / mL

2 1:10

3 1:100

4 1:500

5 1:1000

6 1:2000

7 1:4000

8 1:8000

9 ei HM-3000

10

Catalase

10 mg / mL

500 μ g / 5 mL

0,5 mg / 5 mL

0,1 mg / 1 mL

100 : 10 000

WAVPROG

time: 11:08:38

method: npe

sample ID: 1s600018

HM-3005

Yamg/ml

cycle 655.8

document 2.5 original data sheet

| | | | |
|---------|---|--------|--------|
| 1 | 1 | 0.8887 | 0.0061 |
| | 1 | 0.8835 | |
| 1:10 | 1 | 0.1181 | |
| | 1 | 0.1251 | 0.1216 |
| 1:50 | 1 | 0.8196 | |
| | 1 | 0.8148 | 0.8172 |
| 1:100 | 2 | 0.9341 | |
| | 2 | 0.9766 | 0.9554 |
| HM-3002 | 2 | 0.8841 | |
| Yamg/ml | 2 | 0.8863 | 0.0052 |
| 1:100 | 2 | 0.8654 | |
| | 2 | 0.8786 | 0.8720 |

WAVPROG

time: 11:08:38

method: npe

sample ID: 1s600018

cycle 655.8

| | | | |
|-------------------|---|--------|--------|
| 1:200 | 2 | 1.0219 | 1.025 |
| | 3 | 1.0288 | |
| 1:500 | 2 | 1.1198 | |
| | 3 | 1.1198 | 1.1153 |
| Y-04-tetrahydro | 2 | 0.5761 | |
| Yamg/ml | 3 | 0.6126 | 0.5794 |
| 1:100 | 4 | 1.1344 | |
| | 5 | 1.1421 | 1.1383 |
| 1:100 | 4 | 1.2354 | |
| | 5 | 1.1799 | 1.2076 |
| 1:1000 | 4 | 1.3482 | |
| 10 | 5 | 1.2362 | 1.2922 |

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